

Associations of wheezing phenotypes in the first 6 years of life with atopy, lung function and airway responsiveness in mid-childhood

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ABSTRACT

Background: Patterns of wheezing during early childhood may indicate differences in aetiology and prognosis of respiratory illnesses. Improved characterisation of wheezing phenotypes could lead to the identification of environmental influences on the development of asthma and airway diseases in predisposed individuals.

Methods: Data collected on wheezing at seven time points from birth to 7 years from 6265 children in a longitudinal birth cohort (the ALSPAC study) were analysed. Latent class analysis was used to assign phenotypes based on patterns of wheezing. Measures of atopy, airway function (forced expiratory volume in 1 s (FEV₁), mid forced expiratory flow (FEF₂₅₋₇₅)) and bronchial responsiveness were made at 7–9 years of age.

Results: Six phenotypes were identified. The strongest associations with atopy and airway responsiveness were found for intermediate onset (18 months) wheezing (OR for atopy 8.36, 95% CI 5.2 to 13.4; mean difference in dose response to methacholine 1.76, 95% CI 1.41 to 2.12 %FEV₁ per μ mol, compared with infrequent/never wheeze phenotype). Late onset wheezing (after 42 months) was also associated with atopy (OR 6.6, 95% CI 4.7 to 9.4) and airway responsiveness (mean difference 1.61, 95% CI 1.37 to 1.85 %FEV₁ per μ mol). Transient and prolonged early wheeze were not associated with atopy but were weakly associated with increased airway responsiveness and persistent wheeze had intermediate associations with these outcomes.

Conclusions: The wheezing phenotypes most strongly associated with atopy and airway responsiveness were characterised by onset after age 18 months. This has potential implications for the timing of environmental influences on the initiation of atopic wheezing in early childhood.

Asthma is a complex heterogeneous disease comprising a number of discrete phenotypes, such that the term “asthma” has recently been called into question.¹ Cohort studies followed to adulthood have reported that more severe childhood wheezing phenotypes are less likely to remit in later life.^{2–4} Pulmonary function abnormalities associated with persistent wheezing become established during early childhood and track to adult life, suggesting that early life exposures are critical in determining the onset and natural history of wheezing illnesses.^{3,5} An improved understanding of these phenotypes is therefore of fundamental importance to studies of risk factors for asthma and wheezing illnesses in children.

In a seminal report based on the Tucson Children's Respiratory Study, Martinez and colleagues proposed three patterns of wheezing during the first 6 years of life⁶ leading to the concepts of transient early wheezing in the first 3 years, non-atopic wheezing in the preschool years and IgE-mediated wheeze or asthma.⁷ Although these have served as useful models of wheezing phenotypes in early childhood, there is evidence that phenotypes diverge earlier than 3 years⁸ and a recent report described variations in immune responses within seemingly homogeneous phenotypes of IgE-mediated asthma.⁹ We used a novel symptom-driven approach to define wheezing phenotypes using repeat measurements of wheeze during the first 7 years of childhood in a large population-based birth cohort study, the Avon Longitudinal Study of Parents and Children (ALSPAC). We investigated associations of these phenotypes with physician-diagnosed asthma and objectively measured atopy and airway function at age 7–9 years.

METHODS

Participants

ALSPAC is a longitudinal population-based birth cohort study that recruited 14 541 pregnant women resident in Avon, UK with expected dates of delivery 1 April 1991 to 31 December 1992. There were 14 062 liveborn children. The study protocol has been described previously^{10,11} and further details are shown on the ALSPAC website (<http://www.alspac.bris.ac.uk>).

Data collection

At 6, 18, 30, 42, 54, 69 and 81 months after birth, study mothers were sent a self-completion questionnaire about the health of their child. They were asked to report the occurrence of 15 common symptoms, including wheezing, in the previous 12 months (6 months for the initial questionnaire) and, if present, whether they consulted a doctor. In a separate section they were asked whether in the past 12 months (6 months in the first questionnaire) their child had “wheezing with whistling on the chest when (s)he breathed”. At 91 months of age mothers were asked in a separate questionnaire to report if a physician had ever told them that their child had asthma.

The atopic status of the children was determined at 7–8 years of age by skin prick test responses to a panel of up to 12 common allergens including



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house dust mite (*Dermatophagoides pteronyssinus*), mixed grasses and cat (ALK; Abelló, Hoersholm, Denmark). Sensitisation to one of these three allergens has been shown to identify 95% of all sensitised children in this population.¹² A positive response was defined as a mean weal diameter of ≥ 2 mm with an absent response to negative control solution, and atopy was defined as a positive response to one or more of house dust mite, cat or grass pollen. Mothers were asked to report a personal history of asthma or allergy in a questionnaire administered during pregnancy.

At 8–9 years of age, lung function was measured by spirometry (Vitalograph 2120, Maids Moreton, UK) according to American Thoracic Society criteria.¹³ Flow-volume curves were reviewed by one respiratory physician (JH) to ensure adherence to standards, resulting in the rejection of 338 (4.6%) measurements and the correction of 883 (11.5%) where the automated programme had selected an inappropriate curve. Each variable (forced expiratory volume in 1 s (FEV₁), forced vital capacity (FVC) and mid forced expiratory flow (FEF_{25–75})) was converted to sex-, age- and height-adjusted standard deviation units.¹⁴ Airway responsiveness to methacholine was measured using the method of Yan *et al*¹⁵ and expressed for each subject as the dose-response slope of FEV₁ (percentage decline from baseline) per μmol methacholine.

Statistical methods

Wheeze was defined as present if the response to either question about wheezing was “yes” and absent if the response to both was “no”. All other combinations were classed as missing (1.3%). As there were two levels of response to questions about wheeze at seven time points, there were $2^7 = 128$ different patterns of wheezing possible. Therefore, to derive phenotypes with similar wheezing patterns over time, we used latent class analysis. This is a statistical method for finding subtypes of related cases (latent classes) from multivariable categorical data (in this case, responses to wheezing questions across seven time

points). Briefly, individuals were clustered into a number of discrete latent classes (phenotypes) on the basis of the pattern of responses to each of the wheezing questions. The latent class model aims to determine the minimum number of latent classes that describe the observed patterns of responses in the data.¹⁶ A full description of the latent class analysis used in this study and methods used to evaluate the best fitting model are shown in the online supplement. The posterior probability of each individual belonging to a particular phenotype was estimated and, from these data, the estimated prevalence of wheeze at each time point was calculated for each phenotype.

Children with complete reports of wheezing at all seven time points were included in the analyses. Logistic and linear regression was used to estimate associations of phenotype membership with physician-diagnosed asthma and objective measurements of atopy, lung function and bronchial responsiveness in mid childhood and with maternal self-reported asthma and allergy. As latent class analysis is robust to missing data and misclassification of data items such as faulty recall of wheezing episodes, we repeated all analyses in children who returned questionnaires at two or more time points.

All analyses were done using MPlus 4.1 software (Muthén & Muthén, Los Angeles, 2006).

RESULTS

Of 11 678 children with reports of wheezing on at least two occasions, 6265 (54%) had complete data. The characteristics of the study population are shown in table 1. Children with complete data were less likely to come from socially deprived backgrounds and had lower prevalence of reported wheezing in early childhood than children with missing data.

Comparison of Bayesian information criteria (BIC; see online supplement) suggested that a model with six phenotypes provided the best fit (BIC from models with 3, 4, 5, 6 and 7 phenotypes were 34709, 34357, 34304, 34275 and 34285, respectively). Bootstrap likelihood ratio tests (BLRT) suggested

Table 1 Characteristics of the study population with complete data on wheezing from birth to 81 months (n = 6265) compared with those with missing data

	Children with complete data on wheezing (n = 6265)		Children with 2–6 observations (n = 5413)		Children with 0–1 observations (n = 2384)	
	n/total	%	n/total	%	n/total	%
Girls	3029/6265	48	2623/5413	48	1138/2382	48
<i>Demographic data</i>						
Rented house	973/6143	16	1583/5116	31	943/1483	51
Mother not married	1041/6202	17	1427/5154	28	758/1864	41
Overcrowding	218/6088	4	404/5019	8	260/1771	15
One or more siblings	3289/6125	54	2862/5069	56	1043/1813	58
Low maternal education*	3496/6183	57	3531/4975	71	1038/1309	79
Teenage mother	89/6265	1	303/5413	6	263/2384	11
Mother manual occupation	793/5380	15	933/3851	24	284/868	33
Partner manual occupation	2158/5728	38	2112/4285	49	583/985	59
<i>Prevalence of wheeze</i>						
At 6 months	1506/6265	24	1338/4644	29	165/507	33
6 months	1506/6265	24	1338/4644	29	165/507	33
18 months	1645/6265	26	1334/4574	29	43/138	31
30 months	1336/6265	21	905/3671	25	21/54	39
42 months	1051/6265	17	705/3705	19	5/34	15
54 months	1128/6265	18	644/3122	21	7/23	30
69 months	938/6265	15	389/2327	17	1/9	11
81 months	852/6265	14	273/2127	13	4/11	36

*Low maternal education classified as “0” level or below (equivalent to school leaving certificate at 16 years in the UK).

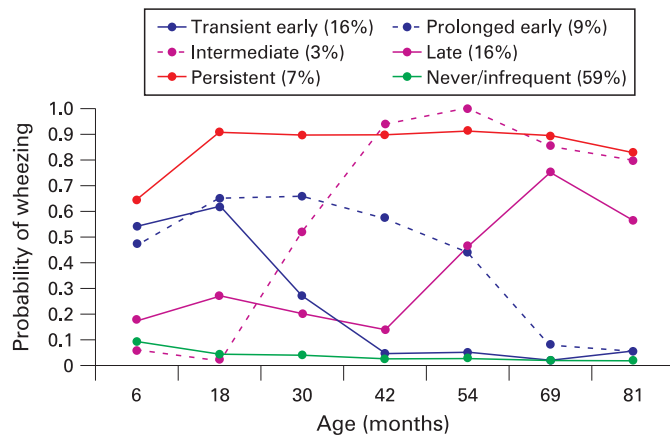


Figure 1 Estimated prevalence of wheezing at each time point from birth to 81 months for each of the six wheezing phenotypes identified by latent class analysis in 6265 children with complete data.

a further improvement in fit comparing models with seven and eight phenotypes in cases with complete data only; analyses of data from children with at least two measures of wheezing from 6 to 81 months suggested—based on both BIC and BLRT—that a six-phenotype model provided the best fit. We therefore selected the more parsimonious solution and based further analyses on six-phenotype models for both datasets.

The estimated prevalence of wheezing at each time point in the six phenotypes is shown in fig 1. Hereafter, we describe the phenotypes as follows:

- ▶ 1: Never/infrequent wheeze (59.3% of children) had approximately 10% prevalence of wheezing at 6 months with declining prevalence of sporadic wheeze thereafter and included subjects (76.5% of this category) who never reported wheeze.
- ▶ 2: Transient early wheeze (16.3%) had 50–60% prevalence up to 18 months, declining to low prevalence from 42 months.
- ▶ 3: Prolonged early wheeze (8.9%) had a peak prevalence of around 65% at 30 months, declining to low prevalence from 69 months.
- ▶ 4: Intermediate onset wheeze (2.7%) had a low prevalence up to 18 months, rising rapidly to high prevalence from age 42 months.
- ▶ 5: Late onset wheeze (6.0%) had approximately 20% prevalence up to 42 months, rising to 50% or higher prevalence thereafter.
- ▶ 6: Persistent wheeze (6.9%) had 65% prevalence at 6 months with approximately 90% prevalence thereafter.

Patterns of wheezing were similar in 11 678 children with missing data (see fig E1 in online supplement).

Association of wheezing phenotypes with atopy and parental asthma/allergy

Table 2 shows associations of wheezing phenotypes with skin test responses at age 7–8 years. Intermediate onset wheeze, late onset wheeze and persistent wheeze were strongly associated with atopy. Neither of the early wheezing phenotypes was associated with atopy or specific allergen sensitisation. Intermediate onset wheezing showed the strongest associations with atopy and with sensitisation to cat and house dust mite (*D pteronyssinus*) allergens. Late onset wheezing was also strongly associated with cat and house dust sensitisation and had the strongest association with grass pollen sensitisation.

Maternal self-reported asthma and allergy were positively associated with all wheezing phenotypes compared with infrequent wheeze (table 3). The strongest association with both maternal phenotypes was seen with persistent wheeze.

Association of wheezing phenotypes with asthma and lung function

All wheezing phenotypes were associated with physician-diagnosed asthma by age 91 months compared with the never/infrequent wheeze phenotype. The proportion of subjects with physician-diagnosed asthma and odds ratios (OR) (95% confidence interval (CI)) were as follows: transient early wheeze 8.5%, OR 2.5 (95% CI 1.5 to 4.1); prolonged early wheeze 36%, OR 14.9 (95% CI 10.7 to 20.7); intermediate onset wheeze 92.8%, OR 326 (95% CI 138 to 770); late onset wheeze 76.2%, OR 85 (95% CI 56 to 128); persistent wheeze 92.1%, OR 308 (95% CI 186 to 510).

Compared with the late onset phenotype, the intermediate onset phenotype was associated with a higher prevalence of doctor-diagnosed asthma (OR 3.9, 95% CI 1.1 to 13.2). Similarly, compared with the transient early phenotype, the prolonged early phenotype had an OR of asthma at 91 months of 6.0 (95% CI 3.5 to 10.3), reflecting the marked difference in prevalence of doctor-diagnosed asthma (9% and 36%, respectively) in the two groups.

Table 4 shows associations of wheezing phenotypes with lung function and airway responsiveness at 8–9 years of age. All phenotypes were associated with decrements of FEV₁ and FEF_{25–75} and increased airway responsiveness compared with never/infrequent wheeze. The greatest decrements were associated with prolonged early, intermediate onset and persistent wheezing. Airway responsiveness was highest in the intermediate and late onset phenotypes.

Compared with late onset wheezing, the intermediate onset phenotype was associated with decrements of FEV₁ and FEF_{25–75} of 0.33 (95% CI 0.10 to 0.55) and 0.28 (95% CI 0.05 to 0.52) standard deviations, respectively. There was also a decrement in mid-expiratory flow (mean difference for FEF_{25–75} –0.22 SD units (95% CI –0.34 to –0.11) in the prolonged early wheezing group compared with the transient early wheezing group.

Never wheeze versus infrequent wheeze

As 2979/3896 subjects (76.5%) assigned to the never/infrequent wheeze phenotype had never reported wheeze, the associations with objective outcomes of these children were compared with the 917 subjects assigned to this group who reported at least one episode of wheeze. The never wheeze group had higher FEV₁ (mean difference 0.14 SD units (95% CI 0.05 to 0.22)) and FEF_{25–75} (mean difference 0.18 SD units (95% CI 0.10 to 0.27)) and lower airway responsiveness (–0.30 percentage FEV₁ per μmol methacholine (95% CI –0.13 to –0.47)) than those with at least one reported episode of wheeze. There were no differences in the prevalence of atopy or individual skin prick test responses between these two groups.

Associations of wheezing phenotypes with other outcomes in children with missing data

The associations of wheezing phenotypes with maternal asthma and with later childhood outcomes in 11 678 children who returned at least two questionnaires on wheezing are shown in tables E3–E5 in the online supplement. These gave very similar results to the analyses based on children with complete data on wheezing between 6 and 81 months of age.

Table 2 Associations of wheezing phenotype with asthma and atopy in 5397 children with complete data on wheezing and asthma at 7.5 years and 4331 children with skin prick test data at 7–8 years

Phenotype	Physician-diagnosed asthma		Atopy (any skin prick sensitivity)		Skin prick sensitivity to <i>D pteronyssinus</i> *		Skin prick sensitivity to cat*		Skin prick sensitivity to grass*	
	n/total (%)	OR (95% CI)	n/total (%)	OR (95% CI)	n/total (%)	OR (95% CI)	n/total (%)	OR (95% CI)	n/total (%)	OR (95% CI)
Transient early	79/931 (8.5%)	2.46 (1.48 to 4.09)	95/700 (13.6%)	0.8 (0.55 to 1.17)	52/707 (7.4%)	0.84 (0.51 to 1.38)	22/702 (3.1%)	0.86 (0.42 to 1.77)	52/706 (7.4%)	0.80 (0.48 to 1.32)
Prolonged early	183/509 (36.0%)	14.87 (10.68 to 20.71)	57/383 (14.9%)	0.89 (0.58 to 1.38)	32/387 (8.3%)	0.99 (0.56 to 1.72)	11/384 (2.9%)	0.77 (0.27 to 2.2)	25/386 (6.5%)	0.69 (0.36 to 1.35)
Intermediate	141/152 (92.8%)	325.75 (137.78 to 770.14)	71/114 (62.3%)	8.36 (5.24 to 13.36)	59/116 (50.9%)	11.12 (7.06 to 17.53)	42/115 (36.5%)	15.51 (9.45 to 25.46)	37/115 (32.2%)	4.83 (2.98 to 7.82)
Late	260/341 (76.2%)	84.60 (56.00 to 127.8)	145/257 (56.4%)	6.62 (4.67 to 9.39)	93/259 (35.9%)	5.97 (4.13 to 8.63)	69/257 (26.8%)	9.73 (6.32 to 14.98)	101/259 (39.0%)	6.54 (4.57 to 9.35)
Persistent	362/393 (92.1%)	307.93 (185.86 to 510.18)	123/296 (41.6%)	3.64 (2.76 to 4.81)	91/299 (30.4%)	4.66 (3.42 to 6.36)	66/296 (22.3%)	7.54 (5.17 to 11)	73/298 (24.5%)	3.27 (2.37 to 4.53)
Never/infrequent	126/3397 (3.7%)	1 (reference)	419/2554 (16.4%)	1 (reference)	219/2580 (8.5%)	1 (reference)	92/2560 (3.6%)	1 (reference)	232/2575 (9.0%)	1 (reference)

*Mean weal diameter ≥ 2 mm. CI, confidence interval; OR, odds ratio.**Table 3** Associations of wheezing phenotypes with maternal self-reported asthma and allergy in 6133 children with complete data on wheezing and maternal history of asthma/allergy

Wheezing phenotype	Maternal asthma Odds ratio (95% CI)	Maternal allergy Odds ratio (95% CI)
Transient early	2.26 (1.62 to 3.15)	1.37 (1.11 to 1.71)
Prolonged early	2.68 (1.89 to 3.78)	1.79 (1.40 to 2.29)
Intermediate	3.54 (2.21 to 5.67)	1.53 (1.05 to 2.22)
Late	2.37 (1.58 to 3.57)	1.41 (1.06 to 1.88)
Persistent	4.17 (3.12 to 5.56)	2.09 (1.67 to 2.62)
Never/infrequent	1 (reference)	1 (reference)

DISCUSSION

Using data on reported wheezing collected at frequent intervals during the first 7 years in a large population-based birth cohort, we have identified six childhood wheezing phenotypes and quantified their associations with objective measures of atopy and lung function in mid-childhood. Two of these phenotypes have not been described previously. Prolonged early wheeze (around 9% of children) was characterised by wheezing from age 6 to 54 months with low prevalence from age 69 months onwards. It was not associated with aeroallergen sensitisation but was associated with increased airway responsiveness and lower lung function at ages 8–9 years compared with the never/infrequent wheeze phenotype. Intermediate onset wheeze (around 2.5% of children) had onset between ages 18 and 42 months. This phenotype was characterised by the strongest association with atopy (particularly skin prick sensitivity to *D pteronyssinus* and cat allergen), lower lung function and higher levels of airway responsiveness compared with the never/infrequent wheeze phenotype. Such associations (represented figuratively in table 5) may reveal differing aetiological or environmental influences on the inception of asthma in young children.

Although the phenotypes identified here have similarities to previously reported patterns of early childhood wheezing, there were differences in their associations with objective outcomes. In the Tucson study, children with persistent and late onset wheeze had the strongest associations with atopy and those with persistent and transient early wheeze had the greatest decrements of lung function at age 6 and 11 years,⁵ with only persistent wheeze being positively associated with increased airway responsiveness at 11 years.¹⁷ Our results challenge these paradigms in that intermediate onset wheezing was most strongly associated with atopy and airway responsiveness in our study, although it should be noted that this pattern would have been included in the persistent wheeze phenotype as defined by the Tucson group. Persistent wheeze in the present study was less strongly associated with atopy than intermediate or late onset wheeze, but was associated with similar lung function deficits to intermediate onset wheeze, suggesting that persistent wheeze may represent a mixture of structural airway abnormalities associated with early onset wheezing and atopic wheeze that develops during early childhood.

In order to interpret the relevance of these findings to the heterogeneity of early childhood wheezing, it is necessary to appreciate the advantages and limitations of the latent class method used to identify the phenotypes in this study. As the term “latent classes” implies, these are not directly observed phenomena but were constructed post hoc on the basis of the pattern of responses to wheezing over a fixed number of observation periods. These methods are therefore not applicable to predicting the natural history of wheezing in individual

Table 5 Strength and direction of associations between derived phenotypes and clinical outcomes

Phenotype	Asthma	Atopy	FEV ₁	FEF ₂₅₋₇₅	AHR
Transient early	√	×	↓	↓	↑
Prolonged early	√√	×	↓	↓↓	↑
Intermediate onset	√√√√	√√	↓↓	↓↓	↑↑
Late onset	√√√	√√	↓	↓	↑↑
Persistent	√√√√	√	↓↓	↓↓	↑↑

The strength of association of each wheezing phenotype with each outcome is represented by the number of symbols (√, ↓ or ↑) with a cross (×) representing absence of association with that outcome.

AHR, airway hyper-responsiveness; FEF₂₅₋₇₅, mid forced expiratory flow; FEV₁, forced expiratory volume in 1 s.

with inhaled corticosteroids in infancy does not alter the natural history of wheezing illnesses in children.^{26, 27} However, it is conceivable that treatment suppressed symptoms of wheeze completely in some subjects, which may have biased reporting towards those with more severe symptoms. Alternatively, suppression of symptoms by treatment with inhaled steroids may have contributed to misclassification of phenotypes that were based on parental-reported wheeze. As this is likely to have affected those phenotypes with the strongest associations with doctor-diagnosed asthma, we would have expected such an effect to attenuate differences between these and other phenotypic groups rather than to lead to spurious associations with objective outcomes. We plan to investigate markers of severity within phenotypes in future studies.

Our finding that the intermediate and late onset phenotypes had the strongest associations with atopy is consistent with a critical window of immunological responses²⁸ during which environmental influences, such as allergens^{29, 30} or viral respiratory infections,³¹ interact with genetic variants in immune responsiveness to influence the risk of developing asthma and allergy.³² The association of late onset wheezing with grass pollen sensitisation may also represent a complex interplay of environmental exposures and genetic predisposition with the later onset of symptoms related to seasonal as opposed to ubiquitous allergen exposure.

Transient early wheezing has been associated with reduced lung function soon after birth, and there is evidence from several studies that such deficits are likely to improve partially in later childhood, although may continue to track below normal values.^{5, 18, 33} Early postnatal measurements were not available in our study but, based on this literature, it seems plausible that early decrements of lung function were associated with the three early onset wheezing phenotypes which were less strongly associated with atopy and airway responsiveness than later onset wheezing. Reduced lung function soon after birth is associated with asthma³⁴ and airway responsiveness³⁵ in later childhood. Our finding of mid-childhood lung function decrements in children with prolonged early and persistent wheezing could reflect persistence of developmental airway abnormalities, but is also consistent with allergenic or non-allergenic postnatal exposures aggravating existing structural airway abnormalities in subgroups of early onset wheeze. The importance of such decrements in mid-childhood is that, once established, they are likely to persist to adulthood.^{3, 5}

In summary, the childhood wheezing phenotypes most strongly associated with atopy and airway responsiveness in our study were characterised by onset of wheezing after the age of 18 months. Wheezing onset soon after birth was not associated with atopy or airway responsiveness except when it persisted to later childhood. Persistent wheeze may represent a complex phenotype comprising different pathophysiological components encompassing early structural or functional airway

changes modified by inflammatory processes during early childhood. Environmental influences on the initiation of atopic wheezing or which modify existing wheezing phenotypes are likely to have a major influence during the first years after birth. The search for modifiable factors that account for the rise in asthma and allergic diseases in industrialised countries³⁶ should focus on interactions between genes and environment during this critical period. The availability of early environmental data in the ALSPAC cohort will enable these associations to be examined in relation to the phenotypes described here.

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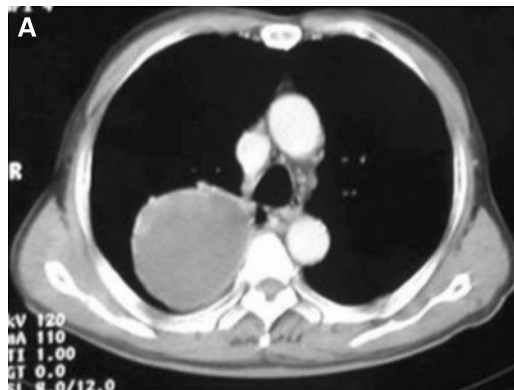
Pulmonary puzzle

An unusual cystic lung lesion

CLINICAL PRESENTATION

An 80-year-old man was admitted because he had experienced haemoptysis for 4 days. He had smoked 40 cigarettes daily for 50 years. He had no medical history except for chronic obstructive pulmonary disease. Physical examination and laboratory data were unremarkable. A chest radiograph revealed a mass lesion in the right upper lobe of the lung. A CT scan of the patient's chest (fig 1A) showed a well defined cystic mass with mural nodules in the medial aspect of the right upper lung. Magnetic resonance imaging (MRI) of the chest showed a well defined cystic mass with multiple mural nodules in the right upper lung zone, 5×7.5×7.5 cm in size (fig 1B). A CT-guided biopsy was performed and the pathology of the specimen indicated chronic inflammation.

Figure 1 (A) CT scan of the chest showing a well defined cystic mass with mural nodules 10×7.5×7.0 cm in the medial aspect of the right upper lung. There were no enlarged mediastinal or hilar nodes. (B) MRI of the thorax showing a well defined cystic mass with mural nodules 8.5×7.5×7.5 cm in the right upper lung.



QUESTION

What is your diagnosis?

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