

# Association of environmental tobacco smoking exposure with an increased risk of hospital admissions for pneumonia in children under 5 years of age in Vietnam

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## ABSTRACT

**Background:** The association between environmental tobacco smoking (ETS) and childhood pneumonia has not been established in developed or developing countries. A study was conducted to assess the effect and impact of ETS exposure on pneumonia among children in central Vietnam.

**Methods:** A population-based large-scale cross-sectional survey was conducted covering all residents of 33 communes in Khanh Hoa Province, the central part of Vietnam. Information on demographics, socioeconomic status and house environment, including smoking status of each household member, was collected from householders. Hospital admissions for pneumonia among children aged <5 years in each household in the previous 12 months were recorded based on caregiver's report.

**Results:** A total of 353 525 individuals living in 75 828 households were identified in the study areas. Of these, 24 781 (7.0%) were aged <5 years. The prevalence of ETS was 70.5% and the period prevalence of hospital admissions for pneumonia was 2.6%. Multiple logistic regression analysis showed that exposure to ETS was independently associated with hospital admissions for pneumonia (adjusted odds ratio 1.55, 95% CI 1.25 to 1.92). The prevalence of tobacco smoking was higher among men than women (51.5% vs 1.5%). It is estimated that 28.7% of childhood pneumonia in this community is attributable to ETS.

**Conclusions:** Children in Vietnam are exposed to substantial levels of ETS which results in 44 000 excess hospital admissions due to pneumonia each year among children aged <5 years.

Worldwide, pneumonia is a leading cause of mortality and morbidity among children. The World Health Organization (WHO) estimates that about 19% of all deaths among children aged <5 years are caused by pneumonia. Seventy percent of childhood deaths from pneumonia occur in Africa or south-east Asia.<sup>1,2</sup> To achieve the fourth Millennium Development Goal of a two-thirds reduction in child mortality between 1990 and 2015, substantial progress needs to be made in reducing deaths associated with childhood pneumonia. In 2007 the WHO and the United Nations Children's Fund (UNICEF) began to establish a Global Action Plan for Pneumonia (GAPP) in order to adopt a balanced and unified approach to the control of childhood pneumonia.<sup>3,4</sup>

Effective control of childhood pneumonia will be achieved by attention to the four key areas: environment, nutrition, case management and vaccines.<sup>4</sup> Previous studies have shown that younger age, malnutrition, lack of breast feeding, zinc deficiency, crowding and lower socioeconomic status are associated with childhood pneumonia.<sup>5-10</sup> However, most of these factors are based on small-size studies and their results are inconsistent across the studies. Among potential environmental factors, the impact of indoor air pollution from domestic biomass fuels on acute respiratory infections in children has been the main focus of investigations,<sup>11,12</sup> whereas the impact of exposure to environmental tobacco smoke (ETS) has been given little attention. ETS exposure is one of the most common preventable hazards for children's health.<sup>13</sup> Recent studies have been shown that ETS exposure is associated with middle ear disease,<sup>14</sup> bronchiolitis<sup>15</sup> and bronchial asthma,<sup>16</sup> yet evidence supporting a causal relationship between ETS exposure and childhood pneumonia has been inconsistent.<sup>6,7,17,18</sup>

In Vietnam, as in other Asian countries, the prevalence of smoking—especially among men—is much higher than that seen in the developed countries,<sup>19,20</sup> leading to a high prevalence of ETS exposure among children. If the association between ETS exposure and childhood pneumonia is causal, this might be an important preventable cause of childhood pneumonia seen in many Asian countries. However, the impact of environmental smoking on pneumonia among children has not been established in developed or developing countries.<sup>17</sup> In order to evaluate the effect and impact of ETS exposure on childhood pneumonia, we conducted a large-scale population-based cross-sectional survey in south-east Vietnam.

## METHODS

### Study site and population

Vietnam is a developing country with an estimated total population of 85 million in 2005.<sup>21</sup> Khanh Hoa province in south-east Vietnam is 442 km north-east of Ho Chi Minh City. Khanh Hoa province faces the sea and is surrounded by mountains. The field site comprises the 33 communes from Nha Trang and Ninh Hoa districts. Nha Trang is an urbanised area with a long beach and Ninh Hoa is a rural mountainous area. Two main hospitals in Nha Trang and Ninh Hoa provide medical care for the population. After the implementation of "The

Law on Child Care, Protection and Education” in early 2005, all children aged <6 years became eligible to receive free medical care including treatment and regular check-ups.

### Data collection

We conducted a large-scale cross-sectional study in 33 communes of Nha Trang and Ninh Hoa to coincide with an update of the study area population census. To collect information on demography, socioeconomic status, smoking habits of the study population and recent health status of children including episodes of hospitalisation for pneumonia during the 12-month period preceding the interview, a standardised interview form was developed in English and translated into Vietnamese. In order to avoid reporting bias related to lunar calendar age (which is commonly used in Vietnam), we calculated each person’s age with date of birth and that of interview. The child was defined as the person who was aged between 0 and 59 months at the time of the interview.

In April 2006 a pilot study was conducted, and the field work was conducted between 8 June 2006 and 25 July 2006. A total of 318 interviewers were recruited from hamlet or group leaders, most of whom had previous experience in conducting household surveys. Also, 102 supervisors and 20 managers were recruited from community health staff to monitor the quality and progress of field work. Altogether, six training courses were organised for interviewers, supervisors and managers 2 days before the start of the field work. Each district was divided into three regions, each of which had a training course. The census survey was attempted to cover all the residents of 33 communes including 74 228 previously registered households and 10 482 newly identified households. Data were collected during structured interviews conducted with adult household members. Interviewees were heads of households (70.2%), spouses of heads of household (19.5%) and other young adult members of households (10.3%). Because the household health survey was completed at the time of the census update, the survey response rate was 100% as all households in the study population were included.

### Data management

Completed interview forms were received daily by field supervisors and inspected for completeness and consistency. Discrepancies in recorded information were resolved by survey supervisors and household interviewers. Each week, all interview forms were cross-checked by project leaders to ensure all data forms were complete and accurate prior to data entry. Data were double entered and managed by trained data management staff of the Khanh Hoa Health Service using FoxPro 9.0 (Microsoft, USA) database.

### Statistical analysis

The datasets were transferred to Stata 10.0 (Stata Corp, USA) for statistical analysis. The study outcome was defined as the proportion of children aged <5 years with one or more hospital admissions for pneumonia during the 12-month period preceding the interview. The status of ETS exposure was categorised in three ways: (1) ETS exposed and not exposed; (2) number of smokers in household (0, 1, 2 and over); and (3) parental smoking versus smoking only by other persons in the household.

To assess household socioeconomic status, we constructed a wealth level using an asset index.<sup>22</sup> The asset index was generated from census household data of 15 main durable

assets using principal component analysis (PCA). The index was composed of 15 main durable assets including radio, TV, videocassette recorder, fan, refrigerator, washing machine, sewing machine, air conditioner, bicycle, motorcycle, car, telephone, mobile phone, personal computer and internet. Three wealth levels were defined (low: lower than 25th percentile of the asset index; middle: 25–75th percentile of the asset index; high: higher than 75th percentile of the asset index). We used housing space per person (m<sup>2</sup>) as an index of crowding and regarded 12 m<sup>2</sup> as a cut-off value based on a guideline for healthy housing in European countries.<sup>23</sup>

Baseline characteristics of children who did or did not report a hospital admission for pneumonia were described by simple tabulations.  $\chi^2$  tests for categorical variables and *t* tests for continuous variables were used to compare explanatory variables between groups.  $\chi^2$  tests for trend were used for number of smokers in household and wealth level. We then used multiple logistic regression models to examine the association between

**Table 1** Characteristics of households in Khanh Hoa province

Characteristics	Total households (n = 75 828)
	No (%)
Type of house	
Single house	72706 (95.9)
Apartment	3116 (4.1)
Composition of house	
Bricks	68033 (89.7)
Mud bricks	2755 (3.6)
Wood	2282 (3.0)
Others*	2758 (3.6)
Water source	
Tap	36845 (48.6)
Well	33582 (44.3)
Others†	5401 (7.1)
Housing space (m <sup>2</sup> )	
<60	42533 (56.1)
≥60	33281 (43.9)
Total number of household members	
≤4	41649 (54.9)
5–6	22855 (30.1)
≥7	11324 (14.9)
Crowding	
Yes	30972 (40.9)
No	44842 (59.2)
Wealth level	
Low	20467 (27.0)
Middle	36935 (48.7)
High	18426 (24.3)
With children <5 years old	
Yes	20612 (27.2)
No	55216 (72.8)
With children <10 years old	
Yes	36406 (48.0)
No	39422 (52.0)
With smoker	
Yes	48368 (63.8)
No	27460 (36.2)
Area	
Nha Trang (urban)	42770 (56.4)
Ninh Hoa (rural)	33058 (43.6)

\*Other compositions of house include tin, sticks and cement.

†Other water sources include rain water, canal, river, lake and pond.

**Table 2** Characteristics of population in Khanh Hoa province

Characteristics	Total population (n = 353 525)	
	Male (n = 174 144)	Female (n = 179 381)
	No (%)	No (%)
Age group (years)		
0–4	12894 (7.4)	11887 (6.6)
5–9	14931 (8.6)	13957 (7.8)
10–17	32752 (18.8)	30832 (17.2)
18–59	101788 (58.5)	105174 (58.6)
≥60	11779 (6.8)	17531 (9.8)
Area		
Nha Trang (urban)	97525 (56.0)	101196 (56.4)
Ninh Hoa (rural)	76612 (44.0)	78179 (43.6)
	<b>Aged &gt;18 years (n = 236 272)</b>	
	<b>Male (n = 113 567)</b>	<b>Female (n = 122 705)</b>
Final education		
No education	3545 (3.1)	7255 (5.9)
Primary school level	34787 (30.7)	48576 (39.6)
Secondary school level	41655 (36.8)	37330 (30.5)
High school and above level	33363 (29.4)	29407 (24.0)
Occupation		
White collar	8774 (7.7)	12557 (10.2)
Worker	41172 (36.3)	24720 (20.1)
Farmer	29113 (25.6)	26484 (21.6)
Fishery	9496 (8.4)	485 (0.4)
Housewife	–	28467 (23.2)
Other	15409 (13.6)	19515 (15.9)
Retired	3925 (3.5)	4469 (3.6)
Unemployed	5695 (5.0)	6014 (4.9)
Smoking status		
Smoking	58468 (51.5)	1655 (1.4)
Non-smoking	55099 (48.5)	121050 (98.7)

ETS exposure and other explanatory variables and the risk of admission to hospital with pneumonia. Variables were included in the multivariate models if they were significant in the univariate analyses ( $p < 0.05$ ) by using a backward stepwise selection method. In order to take into account within-household correlations, the generalised estimating equations (GEE) method was applied in the final logistic regression model.<sup>24</sup>

To determine the impact of ETS exposure on the risk of hospitalisation with childhood pneumonia, the population attributable fraction (PAF) (%) was estimated as  $P \times (AOR - 1/AOR)$  where  $P$  is the proportion of ETS exposure among cases and  $AOR$  is the adjusted odds ratio;<sup>25</sup> 95% confidence intervals (CI) for the adjusted PAF were estimated using the formula of Greenland.<sup>26</sup> The estimated number of hospital admissions with pneumonia which was attributable to ETS exposure at the national level was calculated from the PAF and the national population.

**RESULTS**

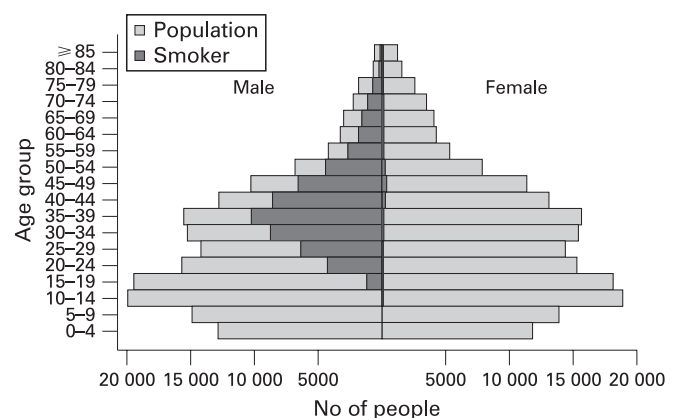
The baseline characteristics of the households and individuals are shown in tables 1 and 2. The total population identified by the study census consisted of 353 525 individuals living in 75 828 households; 8882 households registered in a previous household census were not reached in the current census, mainly because they had moved out of the catchment area. Among the 75 828 active households, 20 612 (27.2%) had children aged <5 years and 48 368 (63.8%) had smokers.

Among the 353 525 individuals, 174 144 (49.3%) were male and 24 781 (7.0%) were <5 years of age. Of the 236 272 individuals aged >18 years, 51.5% of males and 1.4% of females were current smokers. Of all smokers aged >18 years, 61.8% were smoking inside their houses (not shown in table).

The age distribution of the census population and smokers is shown in the age/sex pyramid (fig 1). This graph shows a symmetrical bell-shaped population structure and generally reflects the national population, which is characterised by an increase in the number of youth through the early 1990s and following sharp curb as an effect of the two-child policy. The prevalence of smoking among men aged >30 years was 61.1% compared with 31.4% in those aged 18–29 years (not shown in figure).

The baseline characteristics of children aged <5 years and the proportion of children with admitted to hospital with pneumonia are shown in table 3. Among the 24 781 children aged <5 years, 636 (2.6%) reported a history of one or more hospital admission with pneumonia during the 12-month period preceding the interview. Among children aged <5 years, 17 463 (70.5%) lived in households with at least one smoker, 15 733 (63.5%) lived with indoor smokers and 12 839 (51.8%) lived with parental smokers (not shown in table). The proportion of children admitted to hospital with pneumonia was greater among children who were exposed to ETS. Admission to hospital with pneumonia was also associated with lower wealth level, drinking other than tap water, lower maternal and paternal educational level, and residence in a rural area.

Adjusted odds ratios and PAFs of ETS exposure are shown in table 4. After adjusting for sex, age (months), siblings, wealth level, water source, paternal educational level, and area, ETS exposure was significantly associated with hospital admissions for pneumonia (AOR 1.55, 95% CI 1.25 to 1.92). The effect of more than one smoker present in the household (AOR 1.43, 95% CI 1.08 to 1.89) was not greater than that of one smoker (AOR 1.59, 95% CI 1.27 to 1.98), and the effect of parental smoking (AOR 1.53, 95% CI 1.21 to 1.93) was not greater than that of smoking only by other persons in household (AOR 1.58, 95% CI 1.21 to 2.08). Since the prevalence of ETS exposure among children admitted to hospital with pneumonia was 0.81, the adjusted PAF of ETS exposure on hospital admission with pneumonia was 28.7% (95% CI 15.2% to 40.0%). In 2006, given the estimated total population in Vietnam of 85 029 000, we estimated that there were 44 000 excess hospital admissions for pneumonia annually among children aged <5 years which were attributable to ETS in Vietnam.



**Figure 1** Age/sex pyramid of census population and smokers.

**Table 3** Risk factors for admission to hospital with pneumonia among children aged <5 years

	Total (n = 24 781)	Hospital admission for pneumonia within 1 year		p Value*
		Yes (n = 636)	No (n = 24 145)	
		No (%)	No (%)	
Sex				0.146
Male	12894 (52.0)	349 (2.7)	12545 (97.3)	
Female	11887 (48.0)	287 (2.4)	11600 (97.6)	
Mean (SD) age (months)	32.4 (16.8)	31.9 (14.5)	32.4 (16.8)	0.421†
Number of smokers in household				<0.001‡
0	7318 (29.5)	121 (1.7)	7197 (98.4)	
1	12874 (52.0)	392 (3.0)	12482 (97.0)	
≥2	4589 (18.5)	123 (2.7)	4466 (97.3)	
Mean (SD) number of family members.	6.1 (2.7)	5.9 (2.5)	6.1 (2.7)	0.077†
Have siblings <10 years				0.207
Yes	14899 (60.1)	367 (2.5)	14532 (97.5)	
No	9882 (39.9)	269 (2.7)	9613 (97.3)	
Crowding				0.291
Yes	14024 (56.6)	373 (2.7)	13651 (97.3)	
No	10753 (43.4)	263 (2.5)	10490 (97.6)	
Composition of house				0.120
Brick	22021 (88.9)	553 (2.5)	21468 (97.5)	
Non-brick	2760 (11.1)	83 (3.0)	2677 (97.0)	
Water source				<0.001
Tap	11824 (47.7)	212 (1.8)	11612 (98.2)	
Well	11272 (45.5)	363 (3.2)	10909 (96.8)	
Others	1685 (6.8)	61 (3.6)	1624 (96.4)	
Wealth level				<0.001‡
Low	6459 (26.1)	222 (3.4)	6237 (96.6)	
Middle	12097 (48.8)	307 (2.5)	11790 (97.5)	
High	6225 (25.1)	107 (1.7)	6118 (98.3)	
Mother's final educational level				<0.001
Not living with mother/no information	1255 (5.1)	19 (1.5)	1236 (98.5)	
No education/primary school	7984 (32.2)	242 (3.0)	7742 (97.0)	
Secondary school	10197 (41.2)	276 (2.7)	9921 (97.3)	
High school and above	5345 (21.6)	99 (1.9)	5246 (98.2)	
Father's final educational level				<0.001
Not living with father/no information	4504 (18.2)	88 (2.0)	4416 (98.1)	
No education/primary school	6409 (25.9)	203 (3.2)	6206 (96.8)	
Secondary school	8693 (35.1)	251 (2.9)	8442 (97.1)	
High school and above	5175 (20.9)	94 (1.8)	5081 (98.2)	
Area				<0.001
Nha Trang (urban)	13935 (56.2)	257 (1.8)	13678 (98.2)	
Ninh Hoa (rural)	10846 (43.8)	379 (3.5)	10467 (96.5)	

\* $\chi^2$  test unless otherwise indicated. †t test. ‡ $\chi^2$  test for trend.

## DISCUSSION

In this study, childhood exposure to ETS was associated with a higher period prevalence of hospital admission for pneumonia than those not exposed. This is the first study to report a significant association between ETS exposure and childhood pneumonia based on a population-based study.

### Comparisons with other studies

Although there is considerable evidence describing the association between ETS exposure and child health,<sup>15</sup> the effect of ETS on childhood pneumonia has not been fully elucidated. Several studies have investigated the effect of ETS exposure as a risk factor for childhood pneumonia, mainly in developing countries,<sup>6 7 17 18</sup> yet their results were inconsistent. In these previous studies the use of non-standardised case definitions of pneumonia and problems in the assessment of ETS exposure make the interpretation of these findings difficult.

The WHO promotes a strategy for the identification and management of pneumonia which uses few clinical signs as a part of the Integrated Management of Childhood Illness (IMCI) strategy.<sup>27</sup> IMCI has been widely introduced in developing countries<sup>4</sup> and the pneumonia definitions have also been used for epidemiological studies, although they lack specificity because these definitions were not designed explicitly for epidemiological research.<sup>5 7-10</sup> Hospital-based case-control studies that use non-specific definitions may not be studying pneumonia alone, but a range of acute respiratory syndromes including asthma and bronchiolitis. This may have been the case in the present study. Moreover, since ETS exposure is common among children and it relates to non-specific respiratory symptoms such as cough, wheeze or phlegm production,<sup>16 17 28</sup> using only hospital-based controls results in sampling bias.

Another factor which may affect the results of our study is the method of measuring ETS exposure. Exposure to ETS is

**Table 4** Adjusted odds ratios (ORs) and population attributable fractions (PAFs) of exposure to environmental tobacco smoke (ETS) for hospital admissions with pneumonia among children aged <5 years

	Unadjusted OR		Adjusted OR*		PAF (%)
	(95% CI)	p Value	(95% CI)	p Value	(95% CI)
<b>ETS exposure</b>					
Yes	1.81 (1.48 to 2.21)	<0.001	1.55 (1.25 to 1.92)	<0.001	28.7 (15.2 to 40.0)
No	1		1		
<b>Number of smokers in household</b>					
≥2	1.64 (1.27 to 2.11)	<0.001	1.43 (1.08 to 1.89)	0.012	15.2 (2.8 to 26.0)
1	1.87 (1.52 to 2.30)	<0.001	1.59 (1.27 to 1.98)	<0.001	28.2 (17.5 to 37.5)
0	1		1		
<b>Type of smoker</b>					
Parental smoking	1.88 (1.53 to 2.31)	<0.001	1.53 (1.21 to 1.93)	<0.001	26.5 (12.6 to 38.1)
Other than parental smoking	1.61 (1.25 to 2.07)	<0.001	1.58 (1.21 to 2.08)	0.001	18.5 (7.0 to 28.6)
No	1		1		

\*Adjusted for sex, age (months), have siblings, wealth level, water source, father's educational level and area, which was selected by backward stepwise selection.

commonly measured either by biomarkers in body fluids or by prepared questionnaires. In theory, biomarker testing of body fluids may provide more objective data than questionnaire response data but, in fact, such testing may also introduce sampling bias due to limited sample sizes because of test invasiveness and costs.<sup>15</sup> In spite of the potential gap between the results of questionnaires and biomarkers,<sup>29, 30</sup> face-to-face interviews remain one of the best methods for collecting information on ETS exposure in population-based studies.

In this study we interviewed parents or guardians to collect information both on childhood pneumonia and smoking habits of the population. This methodology enabled us to conduct a population-based survey which revealed both the period prevalence of childhood pneumonia and the prevalence of ETS exposure among the target population. These two indices produced a PAF which demonstrates a quantitative impact of the risk factor on the total population.

#### Limitations of study

Our study has some limitations. In the multivariate analysis the risk of pneumonia did not increase with the number of smokers in the household. It is therefore early to reach conclusions about the dose-response relationship between environmental smoking and childhood pneumonia as information on the daily quantity of cigarettes consumed by smokers is lacking. Furthermore, the effect of parental smoking and smoking by other family members was unexpectedly at a similar level. The relationship between child and parents may differ in different societies with different cultural backgrounds. Further research including qualitative observations is needed to answer these questions. Another limitation is that there may be some recall bias when collecting information on hospital admissions for childhood pneumonia by interviewing parents and guardians. Some cases of bronchial asthma and bronchiolitis may be included in our study. However, we expect the impact of this recall bias to be relatively small, considering the high literacy level in Vietnam (male 94%, female 87%, 2000–2004, UNESCO).

#### Significance of study

This study should stimulate further investigation of the relationship between ETS exposure and childhood pneumonia. There is good reason to believe that there should be a significant relationship. Experimental animal studies show that tobacco

smoke depresses the activities of alveolar macrophages, impairs mucociliary clearance, enhances bacterial adherence, disrupts the respiratory epithelium and decreases the serum Ig levels.<sup>13</sup> In the USA, Nuorti *et al*<sup>31</sup> found that cigarette smoking is the strongest independent risk factor for invasive pneumococcal disease among immunocompetent non-elderly adults. These facts support the finding of our study of an association between ETS exposure and respiratory tract infections. Further research, including epidemiological investigations, is needed to understand more clearly how ETS increases the risk of childhood respiratory tract infections.

Our study is the first to provide detailed information on ETS exposure among children in Vietnam. Our findings indicated that 70.5% of children aged <5 years were exposed to ETS and 63.5% were exposed to indoor smoking. The Global Youth Tobacco Survey (GYTS) showed that the prevalence of ETS exposure among youth in Vietnam was high at 57.7%.<sup>32</sup> However, we cannot directly compare these survey data with our results because the GYTS is a school-based survey and its target was a total of 1151 Vietnamese students aged 13–15 years. Comparable survey data on the ETS status in children aged <5 years were reported from the USA where ETS exposure ranged from 35.0% to 48.8%.<sup>17, 33–35</sup> Compared with developed countries, smoking rates among women are substantially lower in Vietnam, yet ETS exposure rates among children are higher because of extremely high smoking rates among men and larger household sizes in Vietnam.<sup>19, 20</sup> It is possible that ETS exposure among children aged <5 years in other south-east Asian countries with similar smoking prevalence levels among adults and lifestyles to those in Vietnam may resemble those found in our study.<sup>19</sup>

Based on our results, the PAF of childhood pneumonia associated with ETS is 28.7%. We estimate that 44 000 excess hospital admissions for pneumonia annually are attributable to ETS in Vietnam among children aged <5 years. The PAF of ETS to childhood pneumonia is even higher in lower socioeconomic groups (34.1%), and 29.5% in low and middle wealth populations than in higher wealth populations (21.5%, not shown in Results). Since lower socioeconomic status cannot be easily modified but ETS exposure can be prevented, urgent interventions including prenatal and postnatal educational programmes for parents are needed in Vietnam to reduce environmental smoking and children's exposure to it.

## CONCLUSION

ETS exposure is the most important preventable risk factor for admission to hospital with pneumonia among children aged <5 years in the Vietnamese population. Because of the high prevalence of ETS exposure, we estimate that 44 000 excess hospital admissions due to pneumonia among children aged <5 years could be prevented in Vietnam every year. These findings have important public health implications. The high prevalence of smoking among Vietnamese men indicates an urgent need for smoking prevention and cessation efforts in order to reduce the prevalence of ETS exposure among children.

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**Competing interests:** None.

**Ethics approval:** The study was approved by the Institutional Review Board (IRB) at the National Institute of Hygiene and Epidemiology, Hanoi, Vietnam and the IRB of the Institute of Tropical Medicine, Nagasaki University, Nagasaki, Japan.

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