

Genetic susceptibility to the respiratory effects of air pollution

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ABSTRACT

There is large variation between individuals in their response to air pollutants. This review summarises the existing evidence that genetic factors influence the mechanisms of lung injury caused by air pollutants. Genetic association studies have compared the adverse effects of air pollutants between subjects with specific genotypes in biologically relevant genes. In human studies of ozone exposure, polymorphisms in oxidative stress genes (*NQO1*, *GSTM1*, *GSTP1*) modify respiratory symptoms, lung function, biomarkers and risk of asthma. Inflammatory gene polymorphisms (*TNF*) influence the lung function response to ozone, and the effect of different levels of ozone on the development of asthma. Polymorphisms in oxidative stress genes (*GSTM1*, *GSTP1*) alter the response to combined exposure to ragweed pollen and diesel exhaust particles. Importantly, polymorphisms in an oxidative stress gene (*GSTM1*) have predicted patients with asthma who benefit from antioxidant supplementation in Mexico City, which has chronically high ozone exposure. Genetic linkage studies of families have not been feasible for studying the effects of air pollution in humans, but some progress has been made with pedigrees of specially bred mice, in identifying chromosomal regions linked to effects of ozone or particles. A high priority now, in addition to avoiding exposure in the most susceptible people, is to clearly identify the most effective and safe chemopreventive agents for individuals who are genetically susceptible to the adverse effects of air pollution (eg, antioxidants to be taken during high ozone levels).

ADVERSE HEALTH EFFECTS OF AIR POLLUTANTS

Despite improvement in air quality in the past few decades, adverse health effects from air pollution remain important.^{1,2} It has been estimated that exposure to air pollution contributes to 6% of total mortality.³ Of concern, vehicle exhaust emissions are increasing worldwide and exposure to traffic related air pollution has been associated with cardiorespiratory mortality.⁴ However, the exact mechanisms of injury of the major air pollutants—particulate matter, ozone and nitrogen dioxide—are not yet fully understood. Furthermore, there is documented interindividual variability in the adverse health effects of air pollutants. Consequently, the identification of subgroups of the population who are particularly vulnerable to air pollution is an important objective. This review presents evidence that genetic factors influence the mechanisms of lung injury caused by air pollutants.

Interindividual variability in the respiratory effects of air pollutants

Although overall effects are seen in the population as a whole, there is clearly interindividual variability in the adverse health effects of air pollutants. Certain groups within the population are more vulnerable, including the young and the elderly.^{5,6} Even in healthy adults who have undergone controlled exposure to an air pollutant, there is much variability in the measured effects. For example, while the lung function and proinflammatory effects of ozone exposure are reproducible within an individual, large variation in response exists between individuals.^{7,8} Identifying the factors that influence this variability would help to recognise at-risk groups who would benefit the most from preventive strategies. Furthermore, identification of at-risk groups, the degree of their sensitivity to exposure and their frequency in the population, will aid in the cost–benefit analysis of “safe” exposure levels in the public health setting. Various factors have been examined as determinants of this variability, including climatic conditions, dose and time of exposure to air pollutant, age, gender, diet and presence of lung disease.⁹ In addition, genetic factors are likely to be important, especially given that processes involved in the response to air pollutants—oxidative stress and inflammation—are known to be under genetic regulation.^{9,10}

APPROACHES TO STUDYING GENETIC VARIATION AND AIR POLLUTION

Two approaches to identifying genes of importance to disease are genetic linkage studies of families and candidate gene association studies.^{11–13}

(i) Genetic linkage studies of families

Genetic linkage studies identify chromosomal regions that show genetic linkage with a certain disease or disease trait in families. This approach requires no prior hypothesis of which genes are implicated, and therefore has the potential to discover novel disease genes. To date, genetic linkage studies have only been applied to animal models of response to air pollution, in which mice are specially bred to be susceptible or resistant to the effects of specific air pollutants.^{9,10} No genetic linkage studies have been feasible in relation to air pollution in human families, because of the difficulty in defining adverse effects to air pollution exposure in different generations.

(ii) Genetic association studies

Genetic association studies of susceptibility to air pollution compare the adverse effects of air

pollutants between subjects with different genotypes in biologically relevant genes. Possible candidate genes should ideally encode proteins involved in biological pathways related to responses to air pollution (eg, cough, sputum production, bronchoconstriction, airway inflammation). A number of genetic association studies have now been performed in human populations in relation to such responses to air pollution (table 1), studying candidate genes mainly involved in antioxidant defences and inflammation (as described in detail below).

Study design issues in genetic studies of air pollution

The ultimate aim of genetic studies is to identify and/or provide supporting evidence that a given genetic polymorphism is “causal” in the development of a disease or disease trait. This requires proof of consistency and strength of association, biological plausibility and dose–response relationship.¹⁴ Thus a broad range of multidisciplinary studies is required in environmental genomics. Human genetic studies have the potential to confirm and complement evidence from epidemiological studies,

Table 1 Genetic association studies of air pollution

Study	Study design	Population	Gene	Environmental exposure	Phenotype	Outcome
Ozone						
Bergamaschi 2001 ⁵⁸	Case control	Italy–24 healthy adults	<i>NQO1</i> , <i>GSTM1</i>	Ozone: ambient exposure during exercise	FEV ₁ , serum CC16, ROS-DNA adducts	<i>NQO1</i> Pro/Pro187 and <i>GSTM1</i> -null individuals had decreased FEV ₁ , increased CC16 and increased ROS-DNA with ozone >80 ppb.
Corradi 2002 ⁵⁹	Case control	Italy–22 healthy adults	<i>NQO1</i> , <i>GSTM1</i>	Ozone: controlled inhalation during exercise	Lipid peroxidation products in exhaled breath condensate	<i>NQO1</i> Pro/Pro187 and <i>GSTM1</i> -null individuals had biomarkers of oxidative stress with ozone exposure.
Otto-Knapp 2003 ⁶⁰	Ex vivo	Germany–20 adults undergoing nasal surgery	<i>GSTM1</i>	Ozone: controlled laboratory exposure to nasal biopsies	SOD activity	Nasal biopsies of <i>GSTM1</i> -null individuals had increased SOD activity with ozone exposure.
David 2003 ⁶²	Case control	Mexico–225 parent-asthmatic child trios	<i>NQO1</i> , <i>GSTM1</i>	Ozone: ambient exposure	Asthma	<i>NQO1</i> Ser187 and <i>GSTM1</i> -null children had reduced risk of asthma (RR 0.4).
Romieu 2004 ⁶³	Randomised controlled trial	Mexico–158 asthmatic children	<i>GSTM1</i>	Ozone: ambient exposure ± antioxidant supplementation	FEF ₂₅₋₇₅	Asthmatic children on placebo with <i>GSTM1</i> -null genotype had decreased FEF ₂₅₋₇₅ per 50 ppb ozone (vs no change in FEF ₂₅₋₇₅ in <i>GSTM1</i> -positive children on placebo, or any children on antioxidant supplement).
Romieu 2006 ⁶⁴	Randomised controlled trial	Mexico–151 asthmatic children	<i>GSTM1</i> , <i>GSTP1</i>	Ozone: ambient exposure ± antioxidant supplementation	Respiratory symptoms	<i>GSTM1</i> -null and <i>GSTP1</i> Val/Val individuals had the greatest increase in respiratory symptoms per 20 ppb ozone.
Yang 2005 ⁶⁵	Case control	Germany–51 adults undergoing ozone challenge	<i>TNF</i> , <i>TLR4</i> , <i>SOD2</i> , <i>GPX1</i>	Ozone: controlled inhalation during exercise	FEV ₁	Mean fall in FEV ₁ with ozone challenge was greatest in <i>TNF</i> -308G/G individuals.
Li 2006 ⁶⁶	Case control	USA–3699 children	<i>TNF</i> , <i>GSTM1</i> , <i>GSTP1</i>	Ozone: ambient exposure	Asthma, wheezing	<i>TNF</i> -308G/G protected against asthma and wheezing in children living in lower ozone communities. Effect was increased with <i>GSTM1</i> -null and <i>GSTP1</i> Ile/Ile.
Particulate matter						
Gilliland 2004 ⁶⁸	Case control	USA–19 ragweed sensitive adults	<i>GSTM1</i> , <i>GSTP1</i>	Diesel exhaust particles and ragweed allergen: controlled nasal challenge	Nasal IgE, histamine, IL4, IFN-γ	<i>GSTM1</i> -null and <i>GSTP1</i> Ile/Ile individuals had the greatest response to ragweed with DEP exposure.
Gilliland 2006 ⁶⁹	Case control	USA–19 ragweed sensitive adults	<i>GSTM1</i> , <i>GSTP1</i>	Diesel exhaust particles, ragweed allergen and secondhand smoke: controlled nasal challenge	Nasal IgE, histamine, IL4, IFN-γ	<i>GSTM1</i> -null and <i>GSTP1</i> Ile/Ile individuals had the greatest response to ragweed, after secondhand smoke exposure.
Sulphur dioxide						
Winterton 2001 ⁶⁷	Case control	USA–58 asthmatic adults	<i>TNF</i> , <i>ADRB2</i> , <i>IL4</i> , <i>CC16</i> , <i>LTA</i>	Sulphur dioxide: controlled inhalation during exercise	FEV ₁	<i>TNF</i> -308G/G was associated with being a responder to SO ₂ . No association was observed between other genotypes and FEV ₁ response to SO ₂ .

DEP, diesel exhaust particles; FEV₁, forced expiratory volume in 1 s; IL4, interleukin 4; IFN-γ, interferon γ; ROS, reactive oxygen species; SOD, superoxide dismutase.

toxicological experiments and animal genetic models. In planning for genomic research in air pollution, a number of important methodological issues need to be considered, including selection of candidate genes, selection of exposures and phenotypes, and design of genetic epidemiology studies.¹⁵

Translational studies

A number of issues arise with respect to the applicability of animal models of genetic susceptibility to human disease. The similarity (homology) between mouse and human genes often allows information about candidate genes in mice to be directly translated to humans⁹ but this does not always hold true. In addition, the relevance to humans of the biological phenotypes in mice needs to be assessed. Other considerations include whether polymorphisms in different strains of mice have biological significance. Thus although further validation of candidate genes is required, genome-wide scans in animal models have so far been successful in identifying chromosomal regions linked to the effects of inhaled air pollutants, and proposing novel candidate genes involved in mechanisms of lung injury from air pollution.

Selection of candidate genes and polymorphisms

Prioritising polymorphisms for candidate gene studies is critical.¹⁶ The most common causes of Mendelian diseases are polymorphisms leading to a change in amino acid (ie non-synonymous substitutions leading to a missense or nonsense mutations). Clinical severity in Mendelian diseases appears to be greater with more radical amino acid replacements.^{16 17} However, in the general population, variations within protein coding sequences (exons) represent <1% of polymorphisms.¹⁸ Most polymorphisms actually occur in non-coding regions such as introns (intervening between protein coding sequences), regulatory regions (that control gene expression, eg, promoter, 3'-untranslated region) or intergenic regions. Variations in these non-coding regions are now coming under greater scrutiny in multifactorial diseases. To be systematic, candidate gene studies should analyse tagging single nucleotide polymorphisms (SNPs) (maximally informative SNPs in a region of high linkage disequilibrium) and haplotypes (combinations of alleles within a gene) of relevant genes across the whole pathway of each mechanism of pathogenesis.^{16 19} This would provide important information about which polymorphisms in the pathway are causal. Selection of genes for study could be made based on genetic linkage results in animal models, and on pathways implicated in experimental models of cellular exposure to air pollutants.

Selection of air pollutants and phenotypes

The choice of air pollutants and phenotypes related to exposure (eg, physiological phenotypes such as symptoms and lung function changes and biological phenotypes, including airway inflammation and oxidative stress) will influence the clinical relevance, statistical power and feasibility of genetic studies. Overlap between the effects of individual air pollutants needs to be considered,²⁰ especially since pollutants are commonly found as complex mixtures at ground level. An *in vitro* example of interaction of air pollutants is the enhanced adhesion of particles to bronchial epithelium by simultaneous exposure to ozone or cigarette smoke.²¹ Also, microbial products attached to particulate matter and organic components of diesel exhaust particles contribute substantially to the proinflammatory activation of alveolar macrophages.^{22 23} Air pollutants generate

reactive oxygen species, leading to an oxidative stress response, which is an important phenotype. Furthermore, different genes may be involved in different air pollutant response phenotypes. A single polymorphism in a single gene is unlikely to explain variation in all phenotypes, but rather a complex interaction of genes will probably determine response. This is due to the likely relatively small functional effects of individual polymorphisms within complex biological pathways.²⁴

Genetic epidemiological issues

A number of methodological considerations should be addressed when designing and interpreting future genetic studies of air pollution. Replication of positive findings remains an important issue for genetic association studies.²⁵ When meta-analysis of studies is performed, it has been suggested that perhaps 20–30% of genetic association studies published in complex multifactorial diseases are truly statistically significant.^{26 27} While biological heterogeneity between populations may explain discordant results, more important factors are inadequate power, chance and publication bias.²⁸ To circumvent these problems, large scale, well designed studies are required,^{13 29} in order to detect modest genetic effects including gene–gene and gene–environment interaction. For categorical outcomes, power calculations would need to consider allele frequency, ratio of cases to controls and the size of a clinically important odds ratio to be detected.³⁰ In disease susceptibility studies, depending on the minor allele frequency of the SNP of interest, the sample size required may range from many hundreds (of cases and controls) to thousands, such as the British cohort study of asthma and β_2 -adrenoceptor polymorphisms.³¹ For continuous outcomes, the allele frequency, standard deviation of the phenotype of interest and the size of a clinically or biologically important difference should be considered. The recent introduction of genome-wide association studies (using SNP chips) further highlights the need for robust study design.

In the following section, we will review the genetic linkage studies in mice, and genetic association studies of variation in response to air pollution in humans, in light of these study design issues.

OZONE

Mechanisms of response to ozone

Ozone (O_3) is a gaseous air pollutant generated by sunlight from hydrocarbons and nitrogen oxides produced in vehicle exhaust. Increased outdoor levels of ozone have been associated with an increased risk of admissions for asthma and chronic obstructive pulmonary disease (COPD) and increased mortality in patients with asthma and the general population.^{32–37} Only one study, performed in California, has shown a relationship between the incidence of asthma and ozone exposure in children undertaking heavy exercise in high ozone concentrations.³⁸

In studies of human volunteers, controlled inhalation of ozone causes acute changes in lung function, infiltration of neutrophils into the airways and enhanced allergen induced bronchoconstriction.^{8 39–41} Ozone exposure increases airway levels of inflammatory mediators (such as interleukin (IL)8, GRO- α , P-selectin and intercellular adhesion molecule 1 (ICAM-1)), and depletes levels of protective antioxidants (ascorbate and glutathione peroxidase) in bronchoalveolar lavage fluid (BALF).^{42–45} Ozone is a strong oxidant and reacts with the epithelial lining fluid to generate free radicals.⁴⁶ *In vitro* exposure of bronchial epithelial cells to ozone increases the production of

inflammatory mediators (IL6, IL8, ICAM-1, granulocyte macrophage-colony stimulating factor (GM-CSF), RANTES and tumour necrosis factor α (TNF α)).^{47–49} Thus oxidative stress and inflammation are involved in the pulmonary response to ozone exposure.

Genetic linkage studies of ozone exposure in mice

Genome wide scans in mice have found genetic linkage with the effects of ozone exposure.⁵⁰ Using genetic markers spaced across the genome, regions on mouse chromosomes 17 and 11 were found to be linked to neutrophil infiltration into the airways, an ozone induced phenotype.⁵¹ Genes included in these chromosomal regions encoded proteins involved in inflammation and oxidative stress (TNF α , mast cell proteases, manganese superoxide dismutase). Subsequent experiments in mice showed that blocking the function of TNF α , either with anti-TNF α antibody or genetic deletion of TNF receptor, reduces response to ozone, further supporting the importance of this cytokine in mechanisms of injury.^{51–53}

Chromosomes 17 and 11 were also linked to acute lung injury, pulmonary oedema and death in mice after exposure to high concentrations of ozone.⁵⁴ Candidate genes in these regions included antioxidant genes (xanthine dehydrogenase) and inflammatory genes (nitric oxide synthase, myeloperoxidase and small soluble cytokines).^{54–55} A different ozone induced phenotype, lung hyperpermeability, has been linked to mouse chromosome 4 which contains the gene for the pattern recognition receptor, Toll-like receptor 4 (*Tlr4*).^{56–57} In these studies, C3 and OuJ mice strains were used, which differ by a polymorphism in the mouse *Tlr4* gene which reduces endotoxin responsiveness in the C3 strain. The C3 strain has reduced lung hyperpermeability to ozone, indicating that polymorphisms in the innate immune response pathway may influence susceptibility to ozone.¹⁰ This is an example of where polymorphisms in mouse models may provide candidates for testing in humans.

Genetic association studies of ozone exposure in humans

Ozone response and oxidative stress genes

Polymorphisms in two metabolising enzymes have been associated with the effects of ozone exposure in human studies: an enzyme that produces hydroquinones that may react with ozone to reactive oxygen species (NAD(P)H:quinone oxidoreductase 1, *NQO1*), and a detoxification enzyme that reduces oxidative stress (glutathione-S-transferase M1, *GSTM1*). When ozone is inhaled, it reacts with substrates in the epithelial lining fluid (eg, hydroquinones, formed from quinones by the enzyme *NQO1*), to produce reactive oxygen species, which are potentially damaging to the lung. A polymorphism is present in the *NQO1* gene in exon 6, leading to a substitution at amino acid 187 of proline to serine. The proline form of the *NQO1* enzyme is resistant to degradation, making it more active than the serine form. With increased *NQO1* enzyme activity, there is more reaction with ozone and greater build-up of reactive oxygen species. If there is also a deletion of the *GSTM1* gene (null genotype), leading to impaired detoxification of reactive oxygen species, then this combination of polymorphisms (high production of reactive oxygen species and impaired detoxification) confers a high risk of oxidative stress from ozone.

In a study of ozone exposure, 24 healthy non-smokers performed bicycle rides for 2 h outdoors.⁵⁸ When the ozone concentration was high (>80 ppb), subjects with the high oxidant producing genotypes (*NQO1* Pro/Pro187 and *GSTM1*-null, n = 8) had a greater fall in forced expiratory volume in 1 s

(FEV₁), and increased airway epithelial damage, as measured by serum CC16, compared with subjects without this genotype. Subjects with susceptible genotypes also sustained excessive DNA damage by reactive oxygen species, as shown by an increase in the biomarker 8-OHdG.⁵⁸ These results were confirmed in a second study, in which ozone exposure during exercise resulted in higher levels of oxidative stress (lipid peroxidation products) in subjects with susceptible genotypes.⁵⁹ Similarly, a laboratory study of ozone exposure to human nasal mucosal biopsies showed that subjects with absent *GSTM1* function had increased activity of the antioxidant enzyme, superoxide dismutase, to counter higher levels of oxidative stress.⁶⁰ Taken together, these studies provide evidence for genetically predetermined depletion of antioxidant enzyme function as a predisposing factor for susceptibility to ozone. This parallels the interaction of oxidative stress genes with other environmental agents such as smoking.⁶¹

Several genetic studies of asthma have been performed in Mexico City, where outdoor concentrations of ozone are high throughout the year, providing an opportunity to study chronic ozone exposure. In a study of children with asthma, an association was observed between polymorphisms in the oxidative stress genes, *NQO1* and *GSTM1*, and the development of asthma.⁶² Furthermore, a clinical trial showed that children with asthma with the low antioxidant *GSTM1* genotype had a fall in lung function with increasing ozone concentration, unless protected by antioxidant supplementation with vitamins C and E.⁶³ Increase in breathing difficulty was also seen in children with asthma with oxidative stress risk genotypes (*GSTM1* null and *GSTP1* Val/Val).⁶⁴ These results provide evidence for the notion of targeted chemoprevention for patients with asthma who are genetically susceptible to the adverse respiratory effects of ozone.

Ozone response and inflammatory genes

Polymorphisms in the gene for the proinflammatory cytokine TNF α have been associated with the adverse effects of air pollutants in several studies. We performed a genetic association study of *TNF* polymorphisms and ozone exposure in 51 participants who inhaled ozone during intermittent exercise.⁶⁵ With ozone challenge, there was a statistically significantly greater fall in FEV₁ (–9% of baseline) in individuals with the *TNF* –308G/G genotype, compared with subjects with the –308G/A or A/A genotypes (–3% of baseline). A similar association was found when combinations of polymorphisms (haplotypes) in the *TNF* gene were analysed. Specifically, the *LTA* +252G/*TNF* –1031T/*TNF* –308A/*TNF* –238G haplotype conferred the smallest change in FEV₁ with ozone exposure. In an epidemiological study of 1123 children, the *TNF* –308G/G genotype reduced the risk of wheezing, an effect that was greater in communities with the lowest ozone concentrations. This protective effect was reduced in subjects with *GSTM1*-null and *GSTP1* Ile/Ile genotypes.⁶⁶ Thus there is preliminary evidence that the human *TNF* gene is a factor for susceptibility to ozone exposure, which extends the findings in animal models (mouse *tnf* gene associated with ozone effects)⁵¹ and also parallels the involvement of the *TNF* gene in the response to sulphur dioxide⁶⁷ (as discussed below). There was discordance in direction of effect, with the *TNF* –308G/G genotype being associated with a greater fall in FEV₁ with ozone (or sulphur dioxide) challenge, whereas this genotype was protective against wheezing in low ozone communities. This may reflect differences in study design (laboratory challenge vs epidemiological study) or patient population (adults vs children), or

could be due to the *TNF* -308 polymorphism being in linkage disequilibrium with other SNPs in the *TNF* or nearby genes that may in fact be the functional polymorphism.⁶⁸ Hence further clinical and biological investigations are needed to confirm the exact role of *TNF* polymorphisms in response to ozone.

PARTICULATE MATTER

Mechanisms of response to particulate matter

Particulate matter (PM) is a mixture of solid and liquid particles whose deposition within the lung is determined by diameter. PM₁₀ are coarse, "thoracic" particles <10 µm in diameter, PM_{2.5} are fine, "respirable" particles <2.5 µm and ultrafine particles are <100 nm.¹ PM₁₀ and PM_{2.5} have been associated with increased mortality, increased admission rates for respiratory diseases and decreased lung function in adults with COPD and children with asthma.^{69–73} Diesel exhaust particles form the major component of airborne particulate matter.⁷⁴ Short term exposure to diesel exhaust particles produces systemic and pulmonary inflammation, enhances bronchial hyper-responsiveness in patients with asthma and increases sensitisation to airborne allergens.⁷⁴ Inhalation of diesel exhaust particles increased airway inflammatory cells (neutrophils, mast cells and lymphocytes) and upregulated inflammatory mediators (adhesion molecules, IL8, IL13 and GRO-α).^{75–78}

Exposure of the bronchial epithelium to diesel exhaust particles generates reactive oxygen species and increases expression of a marker of oxidative stress, haeme oxygenase 1.^{23, 79} Oxidative stress induced by diesel exhaust particles promotes inflammation via activation of the transcription factors NF-κB and activator protein-1, and other processes implicated in inflammation, including histone acetylation and the MAPK pathway.^{80–83} In vitro studies have shown that diesel exhaust particles increase the production of inflammatory mediators (IL8, GM-CSF and ICAM-1) from human airway epithelial cells.^{84, 85} Thus evidence to date suggests that oxidative stress stimulates the proinflammatory response to particulate matter.

Genetic linkage studies of particulate matter exposure in mice

Several studies have also found genetic linkage with the effects of exposure to particulate matter. In mice exposed to acid sulphate coated particles, the phagocytic function of alveolar macrophages has been linked to mouse chromosomes 17, 13 and 11.⁸⁶ Genes within these regions included those involved in inflammation (*TNFα*, lymphotoxin α, mast cell proteases, heat shock proteins) and oxidative stress (glutathione peroxidase). Interestingly, some of these regions and genes are in common with those linked to response to ozone exposure. Acute lung injury induced by nickel in a mouse model is linked to mouse chromosomes 6 (surfactant protein B) and 8 (metallothionein 1).⁸⁷

Genetic association studies of particulate matter exposure in humans

In a genetic association study, subjects were challenged intranasally with ragweed allergen, with or without the presence of diesel exhaust particles.⁸⁸ Those subjects with low antioxidant genotypes (*GSTM1*-null and *GSTP1* Ile/Ile) had enhanced nasal responses, as indicated by increased nasal IgE and histamine.⁸⁸ These genotype specific responses to ragweed were also aggravated in the presence of secondhand smoke.⁸⁹ These results suggest that genetically determined antioxidant defences modify the adverse effects of diesel exhaust particles

and secondhand smoke during allergic responses. These studies also highlight that associations with a specific SNP may appear inconsistent at times. For example, in the *GSTP1* Ile>Val polymorphism, subjects with the Ile/Ile genotype showed excessive response to allergens,^{88, 89} whereas subjects with the *GSTP1* Val/Val genotype had increased adverse effects to ozone exposure.⁶⁴ As discussed previously by others,⁶⁴ differences in direction of effects with specific SNPs may be due to chance, insufficient power, different populations or ethnic origins, variations in study design and different phenotypes studied.

NITROGEN DIOXIDE

Nitrogen dioxide (NO₂) is formed by the reaction of ozone (O₃) with nitric oxide (NO) which is emitted from motor vehicles, power sources and, in the indoor environment, gas cookers. NO₂ exposure has been linked with respiratory morbidity in epidemiology studies,⁷⁴ including asthma exacerbations.⁹⁰ Inter-strain variation in susceptibility to NO₂ exposure was observed in a study of inbred mice, suggesting a significant genetic contribution.⁹¹ There was discordance between the strains affected by NO₂ and those affected by ozone in the same study, indicating that the mechanisms of injury may differ between these two air pollutants.⁹¹ To date, no human genetic linkage studies or association studies have been published for NO₂.

SULPHUR DIOXIDE

Sulphur dioxide (SO₂) is a gas formed from the burning of coal and oil. Genetic determinants of variability in response to SO₂ have been investigated in one association study. Sixty-one patients with asthma had controlled exposure to 0.5 ppm SO₂ for 10 min during moderate exercise.⁶⁷ An SO₂ responder was defined as having a ≥12% decrease in FEV₁. The *TNF* -308G/G genotype was significantly associated with being a responder (present in 12/12 of responders, compared with 28/46 of non-responders). There were no associations observed with polymorphisms in the β₂ adrenoceptor, IL4 receptor, CC16 or lymphotoxin α genes.⁶⁷

IMPLICATIONS OF GENETIC STUDIES OF RESPONSE TO AIR POLLUTION

Understanding genetic variation in environmental response genes⁹² would help us to understand the underlying biology, variability and pathogenesis; identify at risk individuals through DNA based diagnostics; provide information about prognosis; predict pharmacogenomic responses; and develop novel prevention and treatment.^{19, 93} A particular example of a pharmacogenomic response would be the effect of antioxidant supplementation to prevent the effects of air pollution exposure in high risk individuals. This approach of targeting "high risk" individuals is likely to be more efficacious and cost effective than a "population" approach, because of the greater magnitude of harm from air pollution in "high risk" individuals. Antioxidant supplementation has been shown in two prospective randomised controlled trials to reduce ozone induced decrements in lung function in healthy adults⁹⁴ and children with asthma.⁹⁵ Thus maximal benefit could be achieved by targeting chemoprevention, such as vitamins C and E, in individuals who have known risk factors (eg, respiratory disease) and who are most genetically susceptible (eg, low antioxidant enzyme function due to polymorphisms⁹³). The potential beneficial effects of chemoprevention against the harmful effects of air pollution in subgroups of individuals

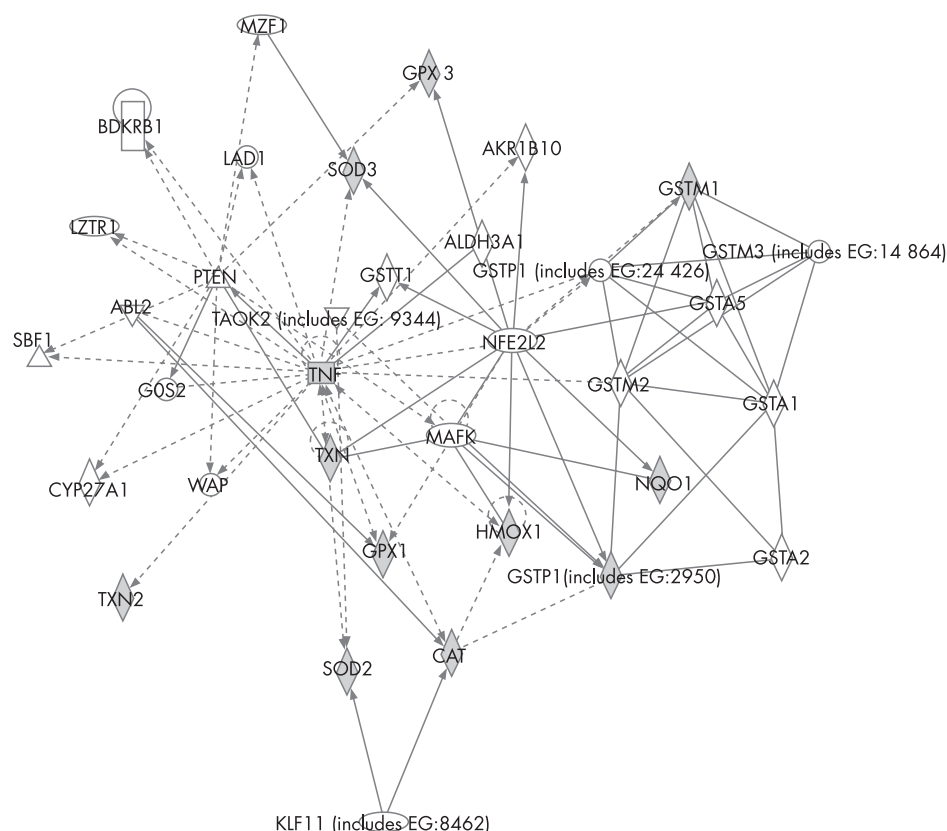


Figure 1 Pathway networks of candidate genes for susceptibility to the respiratory effects of air pollutants. To identify potential candidate genes for future study, pathway networks were generated through the use of Ingenuity Pathways Analysis 4.0 (Ingenuity Systems, Redwood City, CA, USA; available at www.ingenuity.com). Genes showing positive associations with respiratory effects of air pollutants (see table 1: *NQO1*, *GSTM1*, *GSTP1*, *TNF*) were entered, in addition to candidates based on known antioxidant pathways in the lungs.¹⁰⁰ The pathway network analysis produced is a graphical representation of molecular interactions between candidate genes and related antioxidant genes. Grey nodes indicate the candidate genes entered into the pathway analysis, solid grey lines indicate direct relationships between genes, broken grey lines indicate indirect relationships between genes. ABL2, v-abl Abelson murine leukaemia viral oncogene homologue 2; AKR1B10, aldo-keto reductase family 1, member B10 (aldose reductase); ALDH3A1, aldehyde dehydrogenase 3 family, member A1; BDKRB1, bradykinin receptor B1; CAT, catalase; CYP27A1, cytochrome P450, family 27, subfamily A, polypeptide 1; GOS2, G0/G1switch 2; GPX1, glutathione peroxidase 1; GPX3, glutathione peroxidase 3 (plasma); GSTA1, glutathione S-transferase A1; GSTA2, glutathione S-transferase A2; GSTA5, glutathione S-transferase A5; GSTM1, glutathione S-transferase M1; GSTM2, glutathione S-transferase M2 (muscle); GSTM3, glutathione S-transferase mu 3; GSTP1, glutathione-S-transferase, pi 1; GSTT1, glutathione S-transferase theta 1; HMOX1, haeme oxygenase (decycling) 1; KLF11, Kruppel-like factor 11; LAD1, ladinin 1; LZTR1, leucine-zipper-like transcription regulator 1; MAFA, v-maf musculoaponeurotic fibrosarcoma oncogene homologue K (avian); MZF1, myeloid zinc finger 1; NFE2L2, nuclear factor (erythroid-derived 2)-like 2; NQO1, NAD(P)H dehydrogenase, quinone 1; PTEN, phosphatase and tensin homologue; SBF1, SET binding factor 1; SOD2, superoxide dismutase 2, mitochondrial; SOD3, superoxide dismutase 3, extracellular; TAOK2, TAO kinase 2; TNF, tumour necrosis factor; TXN, thioredoxin; TXN2, thioredoxin 2; WAP, whey acidic protein.

would need to be replicated in large prospective randomised controlled trials of both “susceptible” and “resistant” subjects, before chemoprevention could be recommended for clinical use.

SUGGESTIONS FOR FUTURE GENETIC STUDIES OF AIR POLLUTION

The key objectives of gene–environment studies of air pollution are to ascertain both exposure to air pollutants and their effects, and their interaction with genetic risk factors. It is recognised that assessment of exposure to outdoor pollutants is complex, with ozone and particulate matter being just components of complex mixtures of air pollutants including, but not limited to, strong oxidants.⁹⁶ Therefore, hypothesis driven studies should be more comprehensive, including the other arm of gene–environment interactions (ie, exposure itself), without which susceptibility cannot be defined.

At present, it would seem that association studies are the most productive strategy in humans. Large prospective studies

are preferred, incorporating either biologically relevant candidate genes in a pathway (see fig 1, showing pathway networks between candidate genes, using Ingenuity Pathways Analysis) or, where possible, whole genome association studies, rather than small, underpowered studies of single genes. Genetic approaches should be applied to study designs of relevance to air pollution, using the principles outlined above for selection of genes and phenotypes, for robust observational and experimental study design. The major types of study designs include observational studies (panel cohort studies), experimental studies (controlled exposure and in vitro studies) and clinical trials (table 2), which have specific advantages and limitations.⁹⁷

Observational

- Panel cohort studies, in which lung function or respiratory symptoms are prospectively measured in individuals and compared with daily air pollution levels. These studies could be performed in various settings throughout childhood and adult exposure, and also in utero (through maternal exposures) when maternal genetic modifiers of fetal

Table 2 Suggestions for study designs for genetic susceptibility to the respiratory effects of air pollution in humans

Study design to which genetics can be included	Advantages	Limitations
Panel cohort study	Prospective measurement of a range of air pollutants Larger sample sizes possible Longer time course possible	Individual clinical data may not be available Possibly smaller effect sizes (population based) Confounding factors present Difficult to assess biological phenotypes
Controlled exposure study of volunteers	Controlled environment and population, reduces confounders Can study high risk populations More practical to assess biological phenotypes	Generally smaller sample sizes Multiple pollutants usually not studied Relatively short time course
In vitro experiments of biological samples from volunteers	Controlled environment and population, reduces confounders Detailed mechanistic study possible (eg, gene expression microarrays, proteomics)	Generally smaller sample sizes Relatively short time course May not entirely reflect in vivo situation Requires biological samples to be obtained
Randomised controlled trial	Prospective interventional trial Can target high risk individuals Directly applicable to clinical practice	Often need larger sample sizes and longer duration to show a clinically important difference Needs replication to be generalisable to other settings

exposure⁹⁸ or even epigenetic effects of air pollutants may be important.⁹⁹

Experimental

- ▶ Controlled exposure studies of volunteers, in which lung function and airway cells and mediators are measured.
- ▶ In vitro cell culture studies of air pollutant exposure, where cells have been obtained from volunteers.

Clinical trials

- ▶ Randomised controlled trials of interventions against the adverse effects of air pollution, in susceptible individuals during periods of high risk exposures.

CONCLUSIONS

In summary, genetic association studies have been the most feasible study design for investigating the interactions between genetics and the adverse effects of air pollutants in humans. The majority of human genetic association studies of air pollutants have examined ozone exposure, and the greatest effect has been on lung function. Polymorphisms in oxidative stress genes (*NQO1*, *GSTM1*, *GSTP1*) increase respiratory symptoms, lung function, biomarkers and risk of asthma. Inflammatory gene polymorphisms (*TNF*) influence the lung function response to ozone, and the risk of developing asthma depending on ozone levels. Polymorphisms in oxidative stress genes (*GSTM1*, *GSTP1*) alter the response to combined exposure to ragweed pollen and diesel exhaust particles. Genetic linkage studies have not been feasible in human families but some progress has been made in studies of specially bred mice, which have identified chromosomal regions linked to the effects of exposure to ozone or particles. Importantly, polymorphisms in risk genes have been shown to predict which patients with asthma benefit from antioxidant supplementation in areas of high exposure to ozone. Therefore, a high priority now is, in addition to avoiding exposure in the most susceptible people, to clearly identify the most effective and safe

chemopreventive agents for individuals who are genetically susceptible to the adverse effects of air pollution (eg, antioxidants) to be taken during high ozone levels.

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